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A Molecular Orbital Approach to the SRN1 Mechanism of Aromatic Substitution¹

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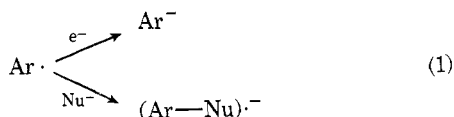
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The perturbation molecular orbital approach applied to the reaction of an aromatic radical with an anionic nucleophile gives a good starting point to rationalize the SRN1 mechanism of aromatic substitution. It is shown that there is an attractive molecular orbital interaction between an aromatic radical and a carbanion nucleophile; the strongest interaction occurs at the nucleophile position with highest coefficient in the highest occupied molecular orbital (HOMO). Experimental results suggest that when the nucleophile has two or more equivalent positions (equal coefficients in the HOMO) the coupling occurs at the one which leads to the more stable radical anion as predicted by comparing the energy of the lowest unoccupied molecular orbital (LUMO) of the radical anion intermediate. When the nucleophile is of the type $^-CH_2Z$, where Z is an unsaturated moiety, the extra electron in the radical anion intermediate is probably mainly located in the lowest LUMO either in Ar or Z. The body of experimental data to date is in good agreement with the predictions made using this approach.

Chemical reactivity is usually discussed in terms of transition state theory, but in recent years the principles of orbital symmetry,² the perturbation molecular orbital, and frontier molecular approaches³ have been particularly successful in delineating in a simple way those reactions which can occur and in predicting which reaction path is more favorable.

It is a known fact that aryl radicals (Ar·) react with some nucleophiles (Nu⁻) at rates which can compete efficiently with the rate of the reaction with solvated electrons (eq 1) as indicated by the product ratio analysis.⁴⁻⁶



As far as we know, there are no kinetic or thermodynamic data available for reactions of Ar· with Nu⁻, although it is known that such reactions are quite fast.

It has been shown by the frontier molecular orbital approach that the strongest interaction between two reacting centers occurs through the frontier orbitals of similar energy,³ so the single occupied molecular orbital (SOMO) of Ar· will interact with the highest occupied molecular orbital (HOMO) of the Nu⁻. This interaction will give one two-electrons bonding orbital and only one electron in the antibonding orbital. Assuming that the energy of the SOMO of Ar· and the HOMO of the Nu⁻ are equal, the change in the π energy as calculated by the first-order perturbation is given by eq 2.^{3a}

$$\Delta E\pi = c_{Ar}^{\text{SOMO}} c_{r,Nu}^{\text{HOMO}} \beta \quad (2)$$

Since $c_{Ar}^{\text{SOMO}} = 1$, eq 2 simplifies to eq 3.

$$\Delta E\pi = c_{r,Nu}^{\text{HOMO}} \beta \quad (3)$$

If the SOMO of Ar· and the HOMO of Nu⁻ are not degenerate, the first-order change in π energy is zero. In this case the change in π energy is given by the second-order perturbation for the interaction of atom r of the Nu⁻ with s of the electrophile which can be calculated by eq 4.^{3a}

$$\Delta E\pi = 2 \sum_j^{\text{occ}} \sum_k^{\text{unocc}} \frac{c_{rj}^2 c_{sk}^2 \beta_{rs}^2}{E_j - E_k} \quad (4)$$



In our particular case where the electrophile is Ar· we have only one orbital with energy close to α and $c_s = 1$; then for the interaction eq 4 simplifies to eq 5.

$$\Delta E\pi = \sum_j^{\text{all}} \frac{c_{rj}^2 \beta_{rs}^2}{E_j} \quad (5)$$

It follows from eq 5 that the coefficient c_{rj} will determine the position of the coupling. Provided that the predominant term in eq 5 is the one involving the coefficients of the HOMO, this coefficient will determine the most reactive position of the Nu⁻.

In the coupling of an Ar· with a carbanionic nucleophile of $^-CH_2Z$ type, Ar and Z will not be conjugated in the product, since the two moieties are separated by an sp^3 carbon. Therefore the extra electron must be located in the lowest

Table I. HMO Values of the Frontier Molecular Orbital of Nucleophiles and Products in Aromatic SRN1 Reactions^a

Aromatic radical	Nucleophile	Registry no.	Coefficients (HOMO) ^b	Position in the coupling	RA formed	LUMO ^c	Ref
Phenyl	(C ₁ -C ₂ -C ₃ -C ₄ -C ₅) ^{-d}	56094-24-7	c ₁ ^H = c ₃ ^H	C ₁	2b	0.618	12
Phenyl		12128-54-0	c ₁ ^H > c ₂ ^H	C ₁	8b	0.662	12
Phenyl	(<i>p</i> -Anisyl-C ₁ -C ₂ -C ₃) ^{-d}	40719-29-7	c ₁ ^H = c ₃ ^H	C ₁ < C ₃ (on C ₃) (on C ₁)	6a 5 ^{e,f}	0.698 1.000	12
Phenyl	-CH ₂ COCH ₃	24262-31-5	c _C ^H > c _O ^H	C ₁	10	0.740	5
Mesityl	-C ₁ -CO-C ₃ -COCH ₃ ^d	54210-56-9	c ₁ ^H > c ₃ ^H	C ₁	12 ^e	0.683	22
Phenyl	 -C ₁ ^{-d}	18860-16-7	c ₁ ^H > c _N ^H	C ₁	17a ^e	0.667	25
Phenyl	-CH ₂ -CN	21438-99-3	c _C ^H > c _N ^H	C ₁	19	0.820 ^g	26
4-Biphenyl	-CH ₂ -CN		c _C ^H > c _N ^H	C ₁	21a	0.705	27
<i>p</i> -Benzoylphenyl	-CH ₂ -CN		c _C ^H > c _N ^H	C ₁	21b	0.294	27
2-Quinolyl	-C ₁ -CO-C ₃ -COPh ^d	59922-52-0	c ₁ ^H > c ₃ ^H	C ₁	14a ^e 14b ^e	0.438 0.460	23
2-Pyridyl	-CH ₂ -CN		c _C ^H > c _N ^H	C ₁	26	0.667	27
1-Naphthyl	-CH ₂ COCH ₃		c _C ^H > c _O ^H	C ₁	15	0.618	17
1-Naphthyl	-CH ₂ -CN		c _C ^H > c _N ^H	C ₁	23	0.618	17
2-Naphthyl	-CH ₂ -CN		c _C ^H > c _N ^H	C ₁	24	0.618	27
9-Phenanthryl	-CH ₂ -CN		c _C ^H > c _N ^H	C ₁	25	0.605	27
1-Naphthyl	-CH ₂ COPh	59922-53-1	c _C ^H > c _O ^H	C ₁	16a	0.386	17

^a Simple Hückel MO method was used in the calculations. The parameters were taken from Streitwieser¹⁴ unless otherwise stated. ^b Coefficients of the highest occupied MO in the nucleophile. ^c The lowest unoccupied MO of the product ArCH₂Z is the single occupied MO in the radical anion. ^d Hydrogen atoms in the nucleophile are omitted for clarity. ^e There is no evidence which radical anion is formed. ^f Any one of the three possibilities (ethylene, benzene, and anisole) have the same LUMO value. ^g Parameters for CN were taken from ref 28.

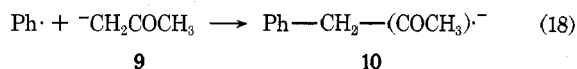
RA 8b has the extra electron in a LUMO with lower energy than RA 8a; therefore, it will be preferentially formed, and the reduction to 1-phenylindan¹² might indicate the reduction of RA 8b in the sense of eq 13.

Coupling of Aromatic Radicals with Ketone Enolate, Picolyl, and Cyanomethyl Anions as Nucleophiles. Allyl anion has a c₁^H equal to -c₃^H, but when carbon 3 is replaced by a heteroatom, the charge density in the heteroatom (oxygen or nitrogen) is higher than on carbon; however, in the HOMO, c_C^H is higher than c_O^H or c_N^H.

In the reaction of a radical with a bidentate nucleophile, the value of the coefficient of the frontier molecular orbital will determine the position of reaction. This conclusion is supported by the fact that ketone enolate, picolyl, and cyanomethyl anions react only through the carbon with higher c^H (Table I).

There is a precedent in aliphatic SRN1,¹⁸ where it was pointed out that the reaction of an aliphatic radical with a bidentate nucleophile is an orbital-controlled reaction.¹⁹

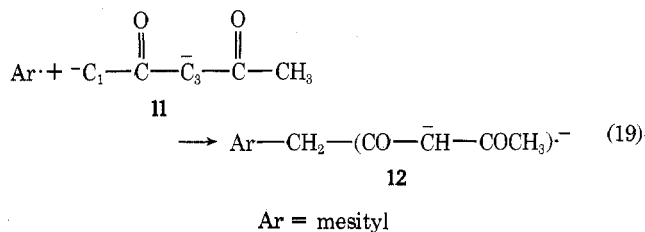
In the reaction of Ph· with acetone anion 9 the substitution occurs only on carbon (eq 18).



There are experimental indications that 10 is the RA formed,^{5,20} in agreement with the LUMO values shown in Table I.²¹

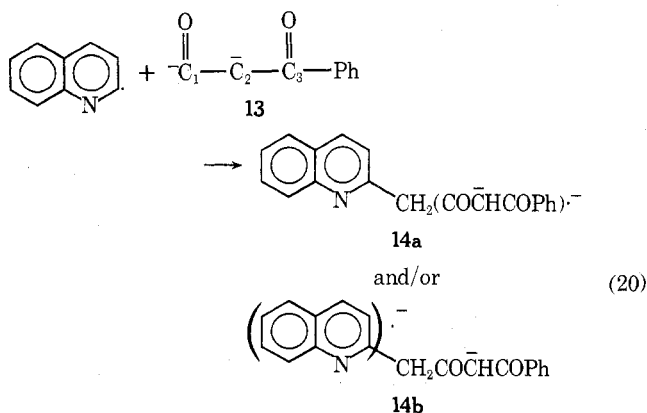
Several cyclic and noncyclic ketone enolate ions have been arylated by this method under photostimulation in liquid ammonia; all of them reacted at the α carbon atom.²²

Ph· do not react with mono-enolate ions of β-dicarbonyl compounds, but 2-bromomesitylene reacted with the dipotassium salt of 2,4-pentadione 11 giving 1-mesityl-2,4-pentadione in 82% yield. The dianion 11 has two nucleophilic centers, carbon 1 and carbon 3, with c₁^H > c₃^H, and the only product obtained was that of reaction on carbon 1 (eq 19).²²



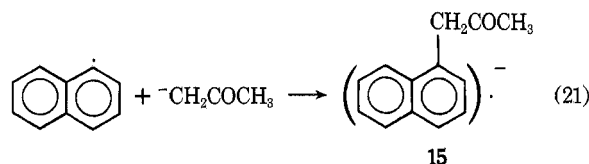
There are few examples of heteroaromatic radicals in reaction with Nu⁻ by the SRN1 mechanism of aromatic substitution.^{23,24} 2-Chloroquinoline reacted with ketonate anions giving substitution products much as phenyl radical does, both stimulated by light or solvated electrons in liquid ammonia.²³

One example involving benzoylacetone dianion 13 has been reported. This Nu⁻ has two nucleophilic centers on carbon 1 and on carbon 3, having c₁^H higher than c₃^H. Accordingly only one substitution product is obtained, namely the one attached to carbon 1 (eq 20).



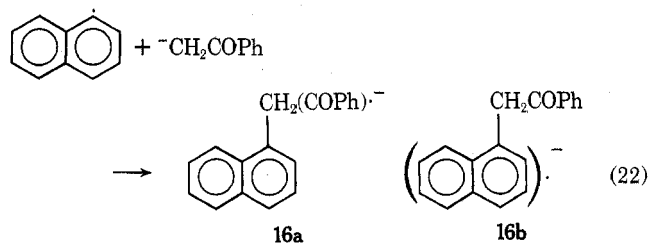
RA 14a has a similar value of the energy in the LUMO to 14b, and there is no evidence as to which RA is formed.

1-Naphthyl radicals react with acetone anion to give substitution products on carbon as Ph· does, but the extra electron is located on the aromatic moiety and not in the nucleophile, owing to the lower energy LUMO of the naphthalene than the carbonyl group²¹ (eq 21).

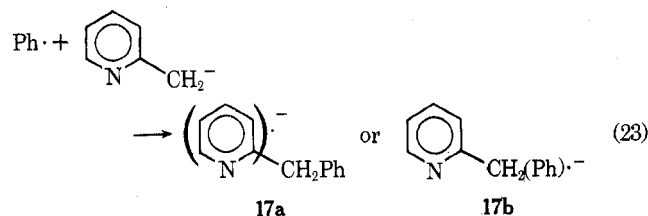


There is experimental evidence for the formation of RA 15 and not the ketyl-like RA 10 as in the reaction with Ph·.¹⁷

Acetophenone anion is another nucleophile of the type $^-\text{CH}_2\text{Z}$, but where Z = C(=O)Ph has a lower energy LUMO than naphthalene moiety. As expected in the reaction with 1-naphthyl radical, the RA formed was 16a instead of 16b (eq 22).¹⁷

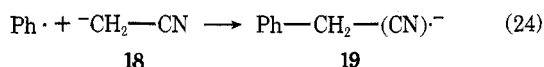


With 2- and 4-picolyl anions as nucleophiles, Ph· couples with carbon 1 (higher c^H) (eq 23).²⁵



There are no indications which RA is formed, but RA 17a has the lowest energy LUMO, which lead us to suggest on the base of the above arguments that RA 17a and not 17b is the one formed.

With cyanomethyl anion also the substitution occurs at carbon 1 (higher c^H) and the RA 19 formed is the one with the lowest energy LUMO²⁸ (eq 24).



There is experimental evidence that RA 19 is the intermediate formed predominantly because it decomposes to benzyl radical and cyanide ions (eq 25).²⁶

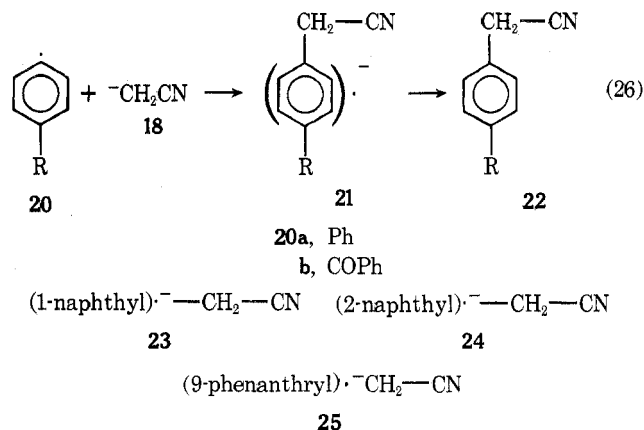


However, when a Ph· has a substituent which decreases the value of the LUMO of the aromatic moiety, such as 20, the CN moiety has now higher LUMO than the aromatic moiety, and the RA formed predominantly is 21, which does not suffer C—CN bond breaking. Consequently only the acetonitrile derivatives 22 are formed (eq 26).²⁷

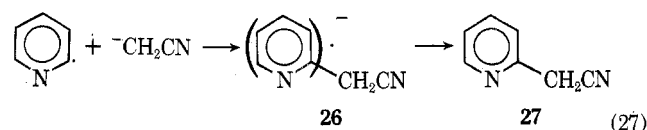
It is remarkable that the presence of a substituent R in the phenyl ring which lowers the value of the LUMO of the aromatic moiety can change so dramatically the product distribution.

1-Naphthyl, 2-naphthyl, and 9-phenanthryl radicals also reacted with cyanomethyl anion to give acetonitrile derivatives without C—CN bond rupture (Table I), which means that RA's 23, 24, and 25 are the intermediates in these reactions.²⁷

2-Chloropyridine reacts with cyanomethyl anion stimulated



by light to give 2-pyridylacetonitrile, and no C—CN bond cleavage was observed.²⁷ Pyridine has a LUMO value lower than the CN moiety, and again the product distribution depends on which is the lowest LUMO in both moieties (eq 27).



The PMO method applied to the SRN1 mechanism allows one to predict the products to be expected in the reaction of aromatic radicals with ambidentate nucleophiles by simply calculating the coefficients of the HOMO of the reactive atoms of the nucleophile.²⁹ Moreover when there are several possible radical anion intermediates, consideration of the LUMO's of the groups involved allows one to predict which will be the intermediate that will predominate in the reaction and consequently the products.

We are aware that there are other possible approaches and other factors (solvation, ion pairs, etc.) besides molecular orbital considerations to be taken into account to deal with the problem in a more general way, but the method presented here seems very appropriate to explain the body of experimental data existent in the literature.

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Registry No.—Phenyl, 2396-01-2; mesityl, 19121-63-2; 4-biphenyl, 2510-50-1; *p*-benzoylphenyl, 59922-54-2; 2-quinolyl, 54978-39-1; 2-pyridyl, 15905-71-2; 1-naphthyl, 2510-51-2; 2-naphthyl, 10237-50-0; 9-phenanthryl, 20199-82-0.

References and Notes

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- (7) In the calculations of the LUMO, we consider that the sp^3 carbon connecting the Ar and Z moieties affects both systems in the same way, so we omitted it in the calculations. For example

$$\text{Ph}\cdot + ^-\text{CH}_2\text{—Z} \rightarrow \left(\text{Ph} \right)^-\text{CH}_2\text{—Z} \quad \text{or} \quad \text{Ph—CH}_2\text{—(Z)}^-$$

